

CITY OF MINNEAPOLIS

# Audit Committee Meeting

## Office of State Auditor Report

Presentation by Stephanie Erickson, Office of State Auditor, on the 2014 State of Minnesota/Office of State Auditor Management and Compliance Reports for the City of Minneapolis.

CITY OF MINNEAPOLIS

# Audit Committee Meeting

July 23<sup>rd</sup>, 2015

# Agenda

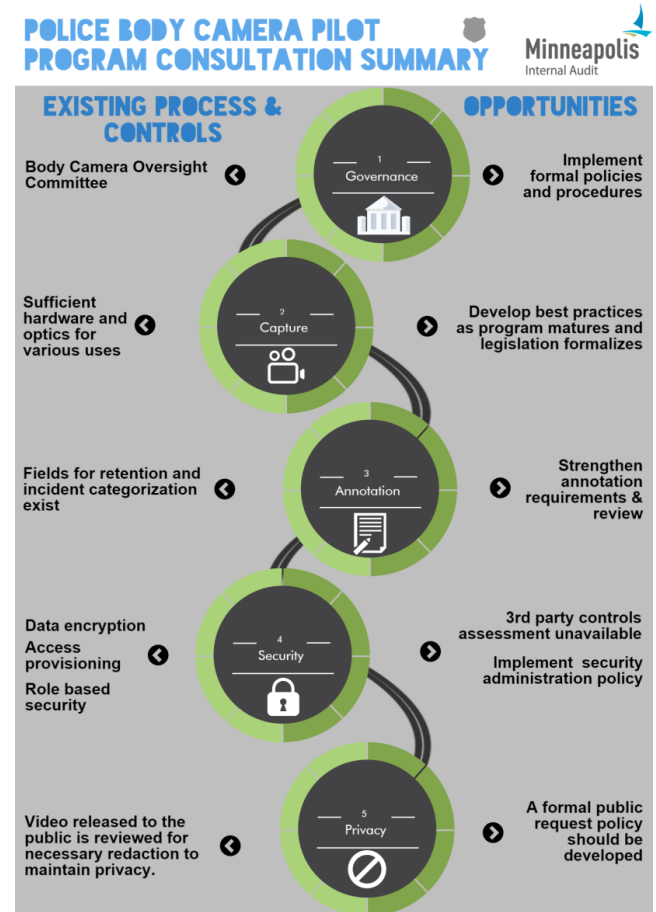
- Audit Reports
  - Police Body Camera Pilot Consultation Memo
  - Purchasing Card Consultation Memo
- Audit Plan and Projects Updates
- Findings Follow Up

CITY OF MINNEAPOLIS

# Police Body Camera Pilot Consultation Report

# Police Body Camera Pilot Program Consultation

- Objective
- Background
- Scope
- Approach
- Observations
- Reports and communication



# Police Body Camera Pilot Program Consultation - Objective

The objective of this project was to assess the procedural and technology risks involved in collecting, transferring, storing, redacting, tagging, and provisioning body camera data in order to best understand and manage the unique risks of each vendor being tested.



# Police Body Camera Pilot Program Consultation – Background

Police departments across the nation have implemented body cameras to help improve police transparency and accountability. In addition, the camera footage could protect officers by providing evidence of false and unsubstantiated claims by the public. The Minneapolis Police Department is evaluating the implementation of police body cameras into their police force.

A pilot program that evaluated two products, Taser and VIEVU, began on November 7, 2014, by the City of Minneapolis. The Taser solution tested was a cloud-based offering hosted on Amazon's AWS cloud service. The VIEVU solution tested was a locally-stored offering followed the Taser test. The test included three Minneapolis precincts representing different racial and socioeconomic demographics. Each precinct included 12 volunteer officers conducting tests in the field.

# Police Body Camera Pilot Program Consultation –Scope

Internal Audit conducted an evaluation of the processes and technology used in the implementation and management of the Police Body Camera Pilot Program. This evaluation also included program governance, capturing and transferring video, categorizing, annotating and retaining video, and releasing video appropriately.



# Police Body Camera Pilot Program Consultation –Approach

The assessment approach consisted of independently assessing operational and technical controls, policies and procedures for governance, security and administration, and specific controls for both Taser and VIEVU products. Information was collected through interviews, observations, and examination of documentation with MPD Business Technology Unit , collaboration with the City Attorney's Office, Police Crime Lab, Information Technology, other City of Minneapolis departments, and industry best practices.

# Police Body Camera Pilot Program Consultation –Observations

Governance and Operations

Security Administration

User Role Security

Device Security

Networking

Public Data Requests

Video Redactions

Data Usage

Categorizing and Data Retention

Chain of Custody and System Logging

Other Recommendations

CITY OF MINNEAPOLIS

# Purchasing Card Policy and Procedures Consultation Report

# Purchasing Card Policy and Procedures Consultation

- Objective
- Background
- Scope
- Approach
- Observations

CITY OF MINNEAPOLIS

# Audit Plan and Projects Update

# Audit Plan and Projects Update

## **Projects Completed:**

- Police Body Camera Pilot Program Consultation
- Purchasing Card Policies and Procedures Consultation

## **Projects in Progress:**

- Data Governance and Records Management Audit – Reporting Phase
- Complaint Protocol Consultation – Fieldwork Phase
- Finance Internal Control Monitoring Consultation – Fieldwork Phase
- Permits, Inspections and Licensing – Planning Phase
- NCR Programming and Support Program Audit – Fieldwork Phase
- Police Records Management Implementation Consultation – Planning Phase
- Enterprise Land Management System Implementation Consultation – Fieldwork Phase
- Human Resources Personnel File Maintenance and Retention – Planning Phase

# Audit Plan and Projects Update

## **Projects Deferred:**

- Police Risk Management & Quality Assurance Consultation

## **Projects Remaining:**

- Governance of 3<sup>rd</sup> Parties Audit

## **Projects Added:**

- Human Resources Personnel File Maintenance and Retention Audit
- Vacant Property Oversight and Maintenance
- CPED Contract Management
- Development Loans – CPED Loan C Program

# Audit Findings Follow Up

- 27 outstanding findings were taken over from the prior Internal Audit Team from audits conducted between 2001 and 2013.
- There are 13 outstanding findings.
  - Two of these findings are being considered for risk acceptance.
- Internal Audit has reached out to each finding owner and is discussing the remediation or plans for remediation of each finding.
- 11 findings have been remediated since the March Audit Committee meeting and 3 were remediated prior to that.